

## VECTOR FORMS AND INTEGRAL FORMULAS FOR HYPERSURFACES IN EUCLIDEAN SPACE

KRISHNA AMUR

### Introduction

Let  $\Sigma$  be a smooth oriented  $m$ -dimensional hypersurface immersed in  $(m + 1)$ -dimensional Euclidean space  $E^{m+1}$ . In §2, we consider some vector form invariants for  $\Sigma$  and their expansions in terms of elementary symmetric functions of principal curvatures and certain intrinsic tangent vectors. We use these results in §3 to obtain integral formulas for  $\Sigma$  assuming that  $\Sigma$  has closed regular boundary. For a compact  $\Sigma$  we have integral formulas of particular interest in Corollary 2 of Theorem 3.1; these are similar to Minkowski formulas and involve gradients of elementary symmetric functions of principal curvatures. Some consequences of these formulas are studied in §4. In Theorem 3.3 we prove that for a compact hypersurface of constant mean curvature, the surface integral of the gradient of any elementary symmetric function of principal curvatures is identically zero.

### 1. Preliminaries

Let  $M$  be an oriented smooth differentiable manifold of dimension  $m$ . Our hypersurface  $\Sigma$  is a mapping  $X: M \rightarrow E^{m+1}$  where the Jacobian matrix has rank  $m$  everywhere. Let  $\mathbf{n}(x)$ ,  $x \in M$ , be a unit normal to  $\Sigma$  at  $X(x)$ . Then choosing an orthonormal frame  $\mathbf{e}_1, \dots, \mathbf{e}_m$  in the tangent space of  $\Sigma$  at  $X(x)$  such that the  $\det(\mathbf{e}_1, \dots, \mathbf{e}_m, \mathbf{n}) = 1$ , we have

$$(1.1) \quad dX = \sum_i \sigma_i \mathbf{e}_i, \quad d\mathbf{n} = \sum_i \omega_i \mathbf{e}_i,$$

where  $\sigma_i$  and  $\omega_i$  are differential 1-forms. We express  $\omega_i$  in terms of the linearly independent  $\sigma_i$ :

$$(1.2) \quad \omega_i = \sum_j a_{ij} \sigma_j,$$

where  $\|a_{ij}\|$  is symmetric.

---

Received April 1, 1968. The author is on study leave from Karnatak University, Dharwar, India. His thanks are due to U.S. Government for support through Institute of International Education, New York. The author is extremely grateful to Prof. H. Flanders for his kind guidance and encouragement in preparing this paper.

Let  $k_1, \dots, k_m$  denote the principal curvatures at  $X(x)$ , and  $K_1, \dots, K_m$  the elementary symmetric functions of the principal curvatures, that is,

$$(1.3) \quad \binom{m}{r} K_r = \sum k_1 \cdots k_r, \quad 1 \leq r \leq m.$$

As usual we assume  $K_0 = 1$ .

We list below a few formulas for easy reference. For other relevant details we refer to Flanders [2], [3] and Chern [1].

$$(1.4) \quad [e_1, \dots, e_m] = n,$$

$$(1.5) \quad [n, \dots, \hat{e}_j, \dots, e_m] = (-1)^j e_j,$$

where the roof indicates the missing term.

$$(1.6) \quad [n, \underbrace{dX, \dots, dX}_{m-1}] = -(m-1)! *dX,$$

$$(1.7) \quad dn *dX = mK_1\sigma, \quad dX *dX = m\sigma,$$

where  $\sigma = \sigma_1 \wedge \dots \wedge \sigma_m$  is the volume element.

$$(1.8) \quad [\underbrace{dn, \dots, dn}_r, \underbrace{dX, \dots, dX}_{m-r}] = r!(m-r)! \binom{m}{r} K_r \sigma n.$$

By exterior differentiation of (1.6) we have

$$[dn, \underbrace{dX, \dots, dX}_{m-1}] = -(m-1)! d*dX.$$

But from (1.8) we see that the left hand member is  $(m-1)!mK_1\sigma n$ . Hence we get

$$(1.9) \quad d*dX = -mK_1\sigma n.$$

An immediate consequence of (1.8) is that for a compact hypersurface  $\Sigma$  we have

$$(1.10) \quad \int_{\Sigma} K_r \sigma n = 0, \quad r = 1, \dots, m,$$

that is, the vector surface integral of any elementary symmetric function of principal curvatures is identically zero. The proof of (1.10) is obvious from the fact that

$$[ \underbrace{dn, \dots, dn}_r, \underbrace{dX, \dots, dX}_{m-r} ] = d[ \underbrace{n, dn, \dots, dn}_{r-1}, \underbrace{dX, \dots, dX}_{m-r} ],$$

where  $d$  stands for exterior differentiation.

Let  $f$  be a smooth function defined on  $\Sigma$ . By  $\text{grad } f$  or  $\nabla f$  we mean  $\nabla f = \sum_i f_i e_i$ , where  $f_i$  are given by  $df = \sum_i f_i \sigma_i$ . We have

$$(1.11) \quad df \wedge *dX = (\nabla f)\sigma .$$

We consider a formula for the divergence of a tangent vector  $a$  in the tangent space of  $\Sigma$  at  $X(x)$ .

Let  $a = \sum a_i e_i$ , where  $a_i$  are smooth functions. Then

$$da = \sum_j \left( da_j + \sum_i a_i \omega_{ij} \right) e_j - \left( \sum_i a_i \omega_i \right) n ,$$

where  $\omega_{ij}$  and  $\omega_i$  are 1-forms. (For details see Flanders [2].) We write

$$\omega_{ij} = \sum_k \Gamma_{i^j k} \sigma_k , \quad da_j = \sum_l (a_j)_l \sigma_l .$$

Then

$$\begin{aligned} da \cdot *dX &= \sum_j \left\{ \sum_i (a_j)_i \sigma_i \wedge * \sigma_j + \sum_i \sum_k a_i \Gamma_{i^j k} \sigma_k \wedge * \sigma_j \right\} \\ &= \sum_j \left\{ (a_j)_j + \sum_i a_i \Gamma_{i^j j} \right\} \sigma \\ &= (\text{div } a)\sigma . \end{aligned}$$

Thus

$$(1.12) \quad da \cdot *dX = (\text{div } a)\sigma .$$

Since

$$\begin{aligned} d(a \cdot *dX) &= da \cdot *dX - a \cdot mK_1 \sigma n \\ &= (\text{div } a)\sigma , \end{aligned}$$

it follows that for a compact hypersurface  $\Sigma$  and tangent vector field  $a$

$$(1.13) \quad \int_{\Sigma} (\text{div } a)\sigma = 0 .$$

Finally we consider an algebraic identity for the elementary symmetric functions of the principal curvatures.

**Definition 1.1.** Let  $C_r$  denote the  $r$ th elementary symmetric function of

the principal curvatures, that is, let  $C_r = \binom{m}{r} K_r$ . For a fixed integer  $i, 1 \leq i \leq m$ , and any integer  $j$  such that  $1 \leq j \leq m$ , we define

$$C_j^i = \sum k_1 \cdots k_j$$

where in each product, the  $j$  curvatures are chosen from the  $m - 1$  curvatures  $k_1, \dots, k_{i-1}, k_{i+1}, \dots, k_m$ . It is convenient to define  $C_0^i = 1$ .

**Lemma 1.1.**

$$(1.14) \quad C_r^i = \sum_{j=0}^r \binom{m}{r-j} (-1)^j K_{r-j}(k_i)^j .$$

*Proof.* We have the recursive relations:

$$\begin{aligned} C_r^i &= C_r - k_i C_{r-1}^i , \\ C_{r-1}^i &= C_{r-1} - k_i C_{r-2}^i , \\ &\dots \\ C_1^i &= C_1 - k_i C_0^i = C_1 - k_i . \end{aligned}$$

Hence

$$\begin{aligned} C_r^i &= C_r - k_i(C_{r-1} - k_i C_{r-2}^i) \\ &= C_r - k_i C_{r-1} + k_i^2 C_{r-2}^i \\ &\dots \\ &= C_r - k_i C_{r-1} + k_i^2 C_{r-2} - \dots + (-1)^r k_i^r . \end{aligned}$$

The Lemma follows from the fact that  $C_r, C_{r-1}, \dots, C_1$  are respectively the  $r$ th,  $(r - 1)$ th,  $\dots$ , 1st elementary symmetric functions of the principal curvatures.

As a corollary to Lemma 1.1, it is possible to deduce the following identity of Newton for the elementary symmetric functions:

$$(1.15) \quad \begin{aligned} r \binom{m}{r} K_r &= m \binom{m}{r-1} K_{r-1} K_1 - \binom{m}{r-2} K_{r-2} \sum_{i=1}^m k_i^2 \\ &+ \dots + (-1)^{r-1} \sum_{i=1}^m k_i^r . \end{aligned}$$

**2. Differential formulas**

A self adjoint linear transformation  $A$  of the tangent space of  $\Sigma$  at  $X(x)$  into itself is defined by (see Flanders [2])

$$(2.1) \quad A e_i = \sum_j a_{ij} e_j ,$$

where the symmetric matrix  $\|a_{ij}\|$  is given by (1.2). It follows that

$$(2.2) \quad AdX = A \sum_i \sigma_i e_i = \sum_i \sigma_i A e_i = \sum_{i,j} \sigma_i a_{ij} e_j = \sum_i \omega_i e_i = dn .$$

We look for other intrinsic tangent vectors which are obtained as the result of repeated application of the transformation  $A$  to  $dX$ . Let  $A^{(j)}dX$  denote the intrinsic tangent vector obtained from  $dX$  by applying  $A$  repeatedly  $j$  times. For convenience we write

$$(2.3) \quad U_0 = dX, \quad U_j = A^{(j)}dX, \quad 1 \leq j \leq m .$$

**Definition 2.1.** An orthonormal frame  $e_1, \dots, e_m$  will be called a principal frame if each  $e_i$  is tangent to a principal direction.

Since the tangent vectors  $U_j$  are intrinsic, we can use any admissible frame locally to describe their components. If  $X(x)$  is a non-umbilic point we have a well defined principal frame at  $X(x)$ . With reference to this frame we have

$$(2.4) \quad \omega_i = \sigma_i k_i \quad (i \text{ not summed}), i = 1, \dots, m .$$

The components of  $U_j$  assume a simple form and are given by

$$(2.5) \quad U_i = \sum_j (k_j)^i \sigma_j e_j .$$

**Lemma 2.1.** *Let*

$$\Delta_r = [n, \underbrace{dn, \dots, dn}_r, \underbrace{dX, \dots, dX}_{m-r-1}] .$$

*Then we have*

$$(2.6) \quad \Delta_r = -r!(m-r-1)! \sum_{i=0}^r (-1)^i \binom{m}{r-i} K_{r-i} * U_i ,$$

where  $U_i$  are the vectors defined in (2.3).

*Proof.* Since we are concerned with proving a local result, we can choose the principal frame for computational purpose. We do this and use (2.4) to get

$$\begin{aligned} \Delta_r &= [n, \sum k_{i_1} \sigma_{i_1} e_{i_1}, \dots, \sum k_{i_r} \sigma_{i_r} e_{i_r}, \sum \sigma_{j_1} e_{j_1}, \dots, \sum \sigma_{j_{m-r-1}} e_{j_{m-r-1}}] \\ &= \sum_j B_j [n, e_1, \dots, \hat{e}_j, \dots, e_m] , \end{aligned}$$

where  $B_j$  is a  $(m-1)$ th order determinant given by

$$B_j = \begin{vmatrix} k_1\sigma_1 \cdots k_{j-1}\sigma_{j-1} & k_{j+1}\sigma_{j+1} \cdots k_m\sigma_m \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ k_1\sigma_1 \cdots k_{j-1}\sigma_{j-1} & k_{j+1}\sigma_{j+1} \cdots k_m\sigma_m \\ \sigma_1 & \cdots & \sigma_{j-1} & \sigma_{j+1} & \cdots & \sigma_m \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \sigma_1 & \cdots & \sigma_{j-1} & \sigma_{j+1} & \cdots & \sigma_m \end{vmatrix}.$$

In  $B_j$ , the first  $r$  rows are identical and so are the last  $m - r - 1$  rows. In the expansion of  $B_j$  the multiplication of differential forms is in the sense of exterior multiplication.

Use of (1.5) yields

$$(2.7) \quad A_r = \sum_j (-1)^j B_j e_j.$$

In expanding  $B_j$  we use Laplace's method of expansion by complimentary minors. Let  $H = (h_1, \dots, h_r)$ ,  $L = (l_1, \dots, l_{m-r-1})$ , where

$$\begin{aligned} 1 \leq h_1 < \cdots < h_r \leq m, \\ 1 \leq l_1 < \cdots < l_{m-r-1} \leq m, \end{aligned}$$

and the range of each  $h_i$  and each  $l_i$  is  $(1, \dots, j-1, j+1, \dots, m)$ . Let  $(k\sigma)_H$  denote an  $r \times r$  minor of  $B_j$ , each row of which is  $k_{h_1}\sigma_{h_1} \cdots k_{h_r}\sigma_{h_r}$ . Then

$$(k\sigma)_H = r!(k_{h_1} \cdots k_{h_r})\sigma_{h_1} \wedge \cdots \wedge \sigma_{h_r}.$$

Similarly, if  $\sigma_L$  denotes  $(m - r - 1) \times (m - r - 1)$  minor of  $B_j$ , each row of which is  $\sigma_{l_1} \cdots \sigma_{l_{m-r-1}}$ , then

$$\sigma_L = (m - r - 1)!\sigma_{l_1} \wedge \cdots \wedge \sigma_{l_{m-r-1}},$$

and

$$B_j = \sum_{H,L} \varepsilon^{H,L} (k\sigma)_H \wedge \sigma_L,$$

where

$$\varepsilon^{H,L} = \operatorname{sgn} \begin{pmatrix} 1 \cdots j-1 & j+1 \cdots m \\ h_1 \cdots h_r & l_1 \cdots l_{m-r-1} \end{pmatrix}.$$

Hence

$$B_j = r!(m - r - 1)!\sigma_1 \wedge \cdots \wedge \hat{\sigma}_j \wedge \cdots \wedge \sigma_m C_r^j,$$

where  $C_r^j$  is a function of the principal curvatures (see Definition 1.1). Substi-

tuting the expression for  $C_r^j$  from (1.14) we get

$$B_j = r!(m - r - 1)! \sigma_1 \wedge \dots \wedge \hat{\sigma}_j \wedge \dots \\ \dots \wedge \sigma_m \sum_{i=0}^r (-1)^i \binom{m}{r-i} K_{r-i}(k_j)^i .$$

Hence

$$(-1)^j B_j e_j = -r!(m - r - 1)! \sum_{i=0}^r (-1)^i \binom{m}{r-i} K_{r-i}(k_j)^i * \sigma_j e_j ,$$

where

$$* \sigma_j = (-1)^{j-1} \sigma_1 \wedge \dots \wedge \hat{\sigma}_j \wedge \dots \wedge \sigma_m .$$

Thus finally using (2.5) we have, from (2.7),

$$\Delta_r = -r!(m - r - 1)! \sum_{i=0}^r (-1)^i \binom{m}{r-i} K_{r-i} \left\{ \sum_{j=1}^m (k_j)^i * \sigma_j e_j \right\} \\ = -r!(m - r - 1)! \sum_{i=0}^r (-1)^i \binom{m}{r-i} K_{r-i} * U_i .$$

**Remark.** From (2.2) we have  $AdX = dn$ , and from (2.3) it follows that  $A^{(i)} * dX = * U_i$ . Hence (2.6) may also be expressed in the form

$$(2.8) \quad \Delta_r = [n, \underbrace{AdX, \dots, AdX}_r, \underbrace{dX, \dots, dX}_{m-r-1}] \\ = -r!(m - r - 1)! \sum_{i=0}^r (-1)^i \binom{m}{r-i} K_{r-i} A^{(i)} * dX .$$

**Corollaries.**

1. Let  $r = 0$ . Then from (2.6) we get the known formula (1.6).
2. Let  $r = m - 1$ . Then

$$\Delta_{m-1} = [n, \underbrace{dn, \dots, dn}_{m-1}] = -(m - 1)! \star dn ,$$

where  $\star$  is the star operator on the  $m$ -sphere which is the Gauss map of  $\Sigma$ . From (2.6) we get

$$(2.9) \quad \star dn = \sum_{i=0}^{m-1} (-1)^i \binom{m}{m-1-i} K_{r-i} * U_i .$$

3. In Chern's notations [1],

$$A_{m-r-1} = X \cdot \Delta_r .$$

**Lemma 2.2.** Let  $X = \mathbf{v} + p\mathbf{n}$ , where  $\mathbf{v} = \sum p_i \mathbf{e}_i$  is the component of  $X$  tangential to the hypersurface  $\Sigma$ , and  $p$  is the support function. Then

$$(2.10) \quad [X, \underbrace{dX, \dots, dX}_{m-1}] = (m-1)!((\mathbf{v} \cdot *dX)\mathbf{n} - p*dX),$$

$$(2.11) \quad \operatorname{div} \mathbf{v} = m(1 - pK_1), \quad \nabla p = \sum_i p_i k_i \mathbf{e}_i.$$

*Proof.* By the linearity of the vector form we have

$$[X, dX, \dots, dX] = [\mathbf{v}, dX, \dots, dX] + p[\mathbf{n}, dX, \dots, dX].$$

It follows from (1.6) that the last term on the right side is  $-(m-1)!p*dX$ . Let  $\Delta = [\mathbf{v}, dX, \dots, dX]$ . Then

$$\begin{aligned} \Delta &= [\sum p_{i_1} \mathbf{e}_{i_1}, \sum \sigma_{i_2} \mathbf{e}_{i_2}, \dots, \sum \sigma_{i_m} \mathbf{e}_{i_m}] \\ &= \begin{vmatrix} p_1 & p_2 & \dots & p_m \\ \sigma_1 & \sigma_2 & \dots & \sigma_m \\ \cdot & \cdot & \cdot & \cdot \\ \sigma_1 & \sigma_2 & \dots & \sigma_m \end{vmatrix} [\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_m], \end{aligned}$$

where the last  $m-1$  rows of the determinant are identical. Using (1.4) and observing that the cofactor of  $p_i$  is  $(m-1)!*\sigma_i$  we get

$$\Delta = (m-1)! (\sum p_i * \sigma_i) \mathbf{n} = (m-1)! (\mathbf{v} \cdot *dX) \mathbf{n}.$$

Now exterior differentiation of (2.10) and use of (1.8) give

$$\begin{aligned} m! \sigma \mathbf{n} &= (m-1)! [(d\mathbf{v} \cdot *dX + \mathbf{v} \cdot d*dX) \mathbf{n} + d\mathbf{n} \wedge (\mathbf{v} \cdot *dX) \\ &\quad - dp \wedge *dX - p d*dX]. \end{aligned}$$

Using (1.9) and (1.12) and observing that  $\mathbf{v}$  is a tangent vector we have

$$(2.12) \quad m\sigma \mathbf{n} = (\operatorname{div} \mathbf{v}) \sigma \mathbf{n} + \sum p_i k_i \mathbf{e}_i \sigma - \nabla p \sigma + mpK_1 \sigma \mathbf{n}.$$

Equating the tangential and normal components in (2.12) we get (2.11).

**Corollary 1.** From (2.11) we get the known result [3]:

$$(2.13) \quad dp = \sum p_i \omega_i.$$

*Proof.*  $dp = \nabla p \cdot dX = \sum \sigma_i k_i p_i = \sum \omega_i p_i.$

**Corollary 2.** If  $\Sigma$  is a minimal hypersurface, then  $K_1 = 0$ , and (2.11) shows that  $\operatorname{div} \mathbf{v} = \text{constant}$  at each point of  $\Sigma$ .



### 3. Integral formulas

**Theorem 3.1.** For a smooth and oriented  $m$ -dimensional hypersurface  $\Sigma$  with closed regular boundary,

$$\begin{aligned}
 (3.1) \quad & \binom{m}{r} \left[ \int_{\Sigma} X \cdot \nabla K_r \sigma - m \int_{\Sigma} (K_1 K_r - K_{r+1}) p \sigma \right] \\
 & = r \binom{m}{r} \int_{\Sigma} (K_{r+1} p - K_r) \sigma - \sum_{i=1}^r (-1)^i \binom{m}{r-i} \int_{\partial \Sigma} K_{r-i} X \cdot * U_i, \\
 & \qquad \qquad \qquad r = 0, 1, \dots, m-1,
 \end{aligned}$$

where  $p = X \cdot n$  is the support function, and the vectors  $U_i$  are given by (2.3).

*Proof.* We have, from (2.6),

$$\Delta_r = -r!(m-r-1)! \left\{ \binom{m}{r} K_r * dX + \sum_{i=1}^r (-1)^i \binom{m}{r-i} K_{r-i} * U_i \right\}.$$

By exterior differentiation and using (1.8), (1.9) and (1.11) we obtain

$$\begin{aligned}
 (r+1) \binom{m}{r+1} K_{r+1} \sigma n = & - \left\{ \binom{m}{r} \nabla K_r \sigma - m \binom{m}{r} K_1 K_r \sigma n \right. \\
 & \left. + \sum_{i=1}^r (-1)^i \binom{m}{r-i} d(K_{r-i} * U_i) \right\}.
 \end{aligned}$$

Taking scalar product with  $X$  we have

$$\begin{aligned}
 (r+1) \binom{m}{r+1} K_{r+1} \sigma p = & - \binom{m}{r} \{ X \cdot \nabla K_r \sigma - m K_1 K_r \sigma p \} \\
 & - \sum_{i=1}^r (-1)^i \binom{m}{r-i} X \cdot d(K_{r-i} * U_i).
 \end{aligned}$$

Since

$$\begin{aligned}
 d(K_{r-i} X \cdot * U_i) & = K_{r-i} dX \cdot * U_i + X \cdot d(K_{r-i} * U_i) \\
 & = K_{r-i} \sum_j (k_j)^i \sigma + X \cdot d(K_{r-i} * U_i),
 \end{aligned}$$

using (2.5), we have

$$\begin{aligned}
 (3.2) \quad (r+1) \binom{m}{r+1} K_{r+1} p \sigma = & - \binom{m}{r} \{ X \cdot \nabla K_r \sigma - m K_1 K_r p \sigma \} \\
 & - \sum_{i=1}^r (-1)^i \binom{m}{r-i} \{ d(K_{r-i} X \cdot * U_i) - K_{r-i} \sum_j (k_j)^i \sigma \}.
 \end{aligned}$$

But

$$\sum_{i=1}^r (-1)^{i-1} \binom{m}{r-i} K_{r-i} \sum_j (k_j)^i = r \binom{m}{r} K_r,$$

by Newton's formula for symmetric functions (see (1.15)). Substituting this value in (3.2) and integrating we get, by Stokes' theorem,

$$(r+1) \binom{m}{r+1} \int_{\Sigma} K_{r+1} p \sigma = \binom{m}{r} \left[ - \int_{\Sigma} X \cdot \nabla K_r \sigma + m \int_{\Sigma} K_1 K_r p \sigma - r \int_{\Sigma} K_r \sigma \right] \\ - \sum_{i=1}^r (-1)^i \binom{m}{r-i} \int_{\partial \Sigma} K_{r-i} X \cdot * U_i .$$

Observing that  $(r+1) \binom{m}{r+1} = (m-r) \binom{m}{r}$  and rearranging we get (3.1).

**Corollary 1.** For a hypersurface  $\Sigma$  with the same properties as in Theorem 3.1 we have

$$(3.3) \quad (m-r) \binom{m}{r} \int_{\Sigma} (K_{r+1} p - K_r) \sigma = - \sum_{i=0}^r (-1)^i \binom{m}{r-i} \int_{\partial \Sigma} K_{r-i} X \cdot * U_i ,$$

and if  $\Sigma$  is compact, then we have the Minkowski equations

$$(3.4) \quad \int_{\Sigma} K_{r+1} p \sigma = \int_{\Sigma} K_r \sigma , \quad r = 0, 1, \dots, m-1 .$$

*Proof.* We have

$$d(K_r * dX) = \nabla K_r \sigma - m K_1 K_r \sigma n .$$

Scalar product with  $X$  gives

$$X \cdot d(K_r * dX) = X \cdot \nabla K_r \sigma - m K_1 K_r \sigma p .$$

But

$$d(K_r X \cdot * dX) = K_r dX \cdot * dX + X \cdot d(K_r * dX) \\ = m K_r \sigma + X \cdot \nabla K_r \sigma - m K_1 K_r \sigma p .$$

Substituting (3.5) in (3.1) we get (3.3).

If  $\Sigma$  is compact the right side member of (3.3) drops out and we get (3.4).

**Corollary 2.** If  $\Sigma$  is compact and oriented, then

$$(3.6) \quad \int_{\Sigma} X \cdot \nabla K_r \sigma = m \int_{\Sigma} (K_1 K_r - K_{r+1}) p \sigma , \quad r = 0, 1, \dots, m-1 .$$

*Proof.* The result follows from (3.1) and the Minkowski equations (3.4).

**Remark 1.** For a hypersurface  $\Sigma$  satisfying the conditions of Theorem 3.1, from (3.5) we have

$$(3.7) \quad \int_{\partial \Sigma} K_r X \cdot *dX = \int_{\Sigma} X \cdot \nabla K_r \sigma - m \int_{\Sigma} (K_1 K_r p - K_r) \sigma, \\ r = 0, 1, \dots, m - 1.$$

And if  $\Sigma$  is compact, using (3.4) we get equations (3.6).

**Remark 2.** Equations (3.6) can also be expressed in the form

$$(3.8) \quad \int_{\Sigma} X \cdot \nabla K_r \sigma = m \int_{\Sigma} K_1 K_r p \sigma - m \int_{\Sigma} K_r \sigma.$$

**Remark 3.** Formulas similar to (3.6) and (3.8) are known for a closed curve  $C$  in  $E^3$ .

Let  $C: X = X(s)$  be a smooth curve in  $E^3$ ,  $k$  the curvature and  $t$  the unit tangent vector at  $X(s)$ . Then

$$d(X \cdot kt) = dX \cdot kt + X \cdot (dk)t + X \cdot kdt.$$

But

$$dX = (ds)t, \quad dk = (ds)k', \quad dt = kn ds,$$

where  $n$  is the principal normal. Hence

$$(3.9) \quad \oint (X \cdot \nabla k) ds = \oint k^2 p ds - \oint k ds,$$

where  $p = X \cdot n$ ,  $n$  is considered along the outward normal, and  $\nabla k = k't$ .

Similarly, by considering  $d(X \cdot \tau t)$  where  $\tau$  is the torsion of  $C$  at  $X(s)$ , we obtain

$$(3.10) \quad \oint (X \cdot \nabla \tau) ds = \oint k \tau p ds - \oint \tau ds.$$

**Remark 4.** From (2.11), for a hypersurface  $\Sigma$  with the properties of Theorem 3.1 we get

$$(3.11) \quad \int_{\Sigma} \operatorname{div} v \sigma = m \int_{\Sigma} (1 - pK_1) \sigma.$$

But

$$(\operatorname{div} v) \sigma = dv \cdot *dX = d(v \cdot *dX) = d(X \cdot *dX),$$

since  $v$  and  $*dX$  are tangent vectors, and  $d*dX = -mK_1 \sigma n$ . Hence from (3.11) we get

$$\int_{\partial \Sigma} X \cdot *dX = m \int_{\Sigma} (1 - pK_1) \sigma,$$

which is precisely the equation we get from (3.3) by putting  $r = 0$ .

**Theorem 3.2.** For a compact smooth oriented hypersurface  $\Sigma$  of constant mean curvature,

$$(3.12) \quad \int_{\Sigma} \nabla K_r \sigma = 0, \quad r = 1, \dots, m.$$

*Proof.* From Theorem 2 of [3] we have

$$\int_{\Sigma} \nabla f \sigma = m \int_{\Sigma} f K_1 \sigma n,$$

where  $f$  is a smooth function on  $\Sigma$ . Since all the elementary symmetric functions of the principal curvatures are smooth functions on  $\Sigma$  we have

$$\int_{\Sigma} \nabla K_r \sigma = m \int_{\Sigma} K_r K_1 \sigma n, \quad r = 1, \dots, m.$$

Since  $\Sigma$  is assumed to be of constant mean curvature we get

$$\int_{\Sigma} \nabla K_r \sigma = m K_1 \int_{\Sigma} K_r \sigma n.$$

But from (1.10) it follows that  $\int_{\Sigma} K_r \sigma n = 0$ ,  $r = 1, \dots, m$ . Hence we get equations (3.12).

#### 4. Some consequences

For a compact and oriented hypersurface  $\Sigma$ , C. C. Hsiung [4] has shown that if  $K_i > 0$ ,  $i = 1, \dots, s$ ,  $1 \leq s \leq n$ ,  $K_s = \text{constant}$  and  $p$  keeps the same sign at all points of  $\Sigma$ , then  $\Sigma$  is a hypersphere. This result follows as an immediate consequence of Corollary 2 of Theorem 3.1.

A variation of the above result is obtained, if instead of requiring  $p$  to keep the same sign at all points of  $\Sigma$  we assume that the mean curvature  $K_1$  of  $\Sigma$  is constant. To this end we have

**Theorem 4.1.** Let  $\Sigma$  be a compact and oriented hypersurface. If  $K_1 = \text{constant}$ ,  $K_i > 0$ ,  $i = 1, \dots, s$ ,  $2 \leq s \leq n$ , and  $K_s = \text{constant}$ , then  $\Sigma$  is a hypersphere.

*Proof.* Under the hypothesis of the theorem, we have

$$(4.1) \quad K_1 K_{s-1} \geq K_s.$$

Since  $K_1 = \text{constant}$ , from (3.6) we have

$$\begin{aligned} \int_{\Sigma} X \cdot \nabla K_r \sigma &= m K_1 \int_{\Sigma} K_r p \sigma - \int_{\Sigma} K_{r+1} p \sigma \\ &= m \int_{\Sigma} (K_1 K_{r-1} - K_r) \sigma \end{aligned}$$

using Minkowski equations.

Further, if  $K_s = \text{constant}$ , we have

$$0 = \int_{\Sigma} (K_1 K_{s-1} - K_s) \sigma ,$$

which together with (4.1) implies that the equality  $K_1 K_{s-1} = K_s$  should hold. The equality in its turn implies that  $\Sigma$  is a hypersphere.

### References

- [1] S. S. Chern, *Integral formulas for hypersurfaces in Euclidean space and their applications to uniqueness theorems*, J. Math. Mech. **8** (1959) 947-955.
- [2] H. Flanders, *Differential forms*, Academic Press, New York, 1963.
- [3] —, *The Steiner point of a closed hypersurface*, Mathematika **13** (1966) 181-188.
- [4] C. C. Hsiung, *Some integral formulas for closed hypersurfaces*, Math. Secand. **2** (1954) 286-294.

PURDUE UNIVERSITY